

Ariya Serrano

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SUMMARY

Principal level **healthcare compliance** professional with 11 years of business experience, including more than 7 years supporting MedTech and healthcare organizations. Advises commercial, scientific, medical, finance, legal, and operational stakeholders on AKS, False Claims Act, Stark Law, HIPAA, fraud and abuse, **advertising and promotion**, **Third Party Intermediary** due diligence, and **cross border interactions**. Leads risk assessments, recurring risk reviews, monitoring, control testing, corrective action, and internal audit support. Builds Excel and GRC dashboards with KPIs, graphs, flow charts, data accuracy checks, and executive reporting. Develops practical training and communications for fast growing businesses. Clear recommendations, sound judgment, and accountable follow through help connect compliance requirements with responsible commercial growth.

EXPERIENCE

Senior Healthcare Compliance Manager, Cardiovascular Devices

July 2023 - Present

Cardinal Peak Medical Devices

Princeton, NJ

Directs healthcare compliance support for a cardiovascular device portfolio, connecting commercial, medical, and operational decisions with regulatory requirements, risk controls, monitoring data, training, and corrective action.

- Advised cardiovascular stakeholders on regulated interactions, linking commercial decisions with responsible patient focused growth.
- Led quarterly risk reviews using **control testing** and business changes, assigning mitigation owners.
- Built Excel and GRC **dashboards** with **KPIs**, data checks, and executive reporting.
- Reviewed **TPI due diligence** and cross border engagements for **fraud and abuse** concerns.
- Designed role based training for sales, marketing, clinical, and support teams, tracking completion.
- Guided **corrective action** plans for monitoring exceptions, documenting evidence and closure criteria.

Healthcare Compliance Manager, Vascular Devices

March 2020 - June 2023

Northstar Vascular Systems

Philadelphia, PA

Managed compliance operations for U.S. commercialization of vascular devices, translating **healthcare regulation** into practical review pathways, risk assessments, monitoring routines, **visual reporting**, policy communications, and business guidance.

- Managed compliance operations for vascular commercialization, translating regulation into practical review pathways.
- Conducted annual risk assessments across commercial practices, professional interactions, and promotional activities.
- Built Excel monitoring routines for expenses, consulting, sponsorships, and training records.
- Prepared dashboards, **graphs**, and **flow charts** showing risks, training, reviews, and corrective action.
- Evaluated proposed activities and documented recommendations for contracting, **finance**, legal, and business partners.
- Refined manager toolkits during growth, improving escalation, documentation, and accountable decision making.

Senior Compliance Analyst, Medical Technology

June 2017 - February 2020

Asteron Health Solutions

Baltimore, MD

Performed **healthcare compliance** monitoring for a medical technology business, supporting transaction reviews, TPI due diligence, control testing, internal audit evidence, training, **complaints investigation**, and issue escalation.

- Performed **compliance monitoring** for medical technology activities against policy and fraud requirements.
- Analyzed transaction and contracting data for accuracy, completeness, and potential anomalies.
- Supported **TPI due diligence** through risk information, contracts, rationale, and approval reviews.
- Coordinated **control testing** and maintained evidence for internal audit inquiries.
- Drafted training materials covering healthcare professional interactions, documentation, and escalation **procedures**.
- Investigated reported concerns by organizing records and preparing issue summaries for senior reviewers.

Commercial Contracts Analyst, Healthcare Products

October 2015 - May 2017

HarborPoint Life Sciences

Wilmington, DE

Analyzed commercial contracts for a healthcare products organization, strengthening approval controls, contract **data integrity**, governance workflows, reporting visibility, policy research, and audit ready documentation.

- Analyzed healthcare product contracts, building experience with regulated **controls** and approvals.
- Maintained contract records and validation checks for finance, legal, and compliance reporting.
- Reviewed payment terms, service descriptions, and approval evidence before governance routing.
- Created Excel trackers and PowerPoint summaries for status, exceptions, and aging items.
- Researched policy questions and prepared structured findings for legal and compliance evaluation.
- Standardized intake information and documentation, supporting later audit review.

PROJECTS

Healthcare Compliance Monitoring Dashboard 📅 2024

Built an integrated reporting model combining training, due diligence, monitoring, and issue data. Excel and GRC views gave leaders clearer KPIs, data quality checks, risk trends, and follow up priorities.

Commercial Risk Review Framework 📅 2022

Created recurring assessment workflows for commercial practices, professional interactions, third parties, and promotional activities. Visual tools helped stakeholders document decisions, escalate concerns, and track corrective actions.

LEADERSHIP & AWARDS

- Program Lead, Healthcare Compliance Analytics Working Group, 2024 to Present
- Senior Mentor, Compliance Mentorship Program, 2022 to Present
- Certified in Healthcare Compliance recognition, 2021

EDUCATION

Bachelor of Science in Business Administration

2015

University of Delaware GPA: 3.5

Newark, DE

Coursework: Healthcare compliance, business law, finance, data analysis

CERTIFICATIONS

- Certified in Healthcare Compliance 📅 2021
- Healthcare Compliance Data Analytics Certificate 📅 2024

TECHNICAL SKILLS

- **Healthcare Regulations:** AKS, False Claims Act, Stark Law, HIPAA
- **Compliance Domains:** Fraud and abuse, medical compliance, advertising and promotion
- **Risk Management:** Risk assessment, risk mitigation, risk reviews
- **Monitoring and Testing:** Compliance monitoring, control testing, internal auditing
- **Data Analytics:** Data analysis, data accuracy, data integrity
- **Reporting Tools:** Dashboards, KPIs, graphs
- **Visual Documentation:** Flow charts, PowerPoint, executive reporting
- **Microsoft Office:** Microsoft Excel, Microsoft Word, Microsoft PowerPoint
- **Governance Systems:** GRC platforms, compliance systems, governance controls
- **Third Party Review:** TPI due diligence, cross border interactions, third party risk
- **Training Communications:** Compliance training, policy communications, awareness tracking
- **Contracting Controls:** Commercial contracting, payment terms, approval workflows

SKILLS

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|-------------------------|------------------|-------------------------|--------------------------|
| • Healthcare compliance | • Data analytics | • Internal auditing | • TPI due diligence |
| • Risk assessment | • Data integrity | • Control testing | • Commercial contracting |
| • Risk mitigation | • Dashboards | • Corrective action | • Critical thinking |
| • Compliance monitoring | • GRC platforms | • Healthcare regulation | |

PROFESSIONAL AFFILIATIONS

- Healthcare Compliance Analytics Working Group, Program Lead
- Compliance Mentorship Program, Senior Mentor
- MedTech compliance professional community participant

LANGUAGES

- English (Native)
- Spanish (Proficient)

ADDITIONAL INFORMATION

Work Status : Authorized to work in United States. No sponsorship required.

REFERENCES

AVAILABLE ON REQUEST