

# Rahul Norris

## Supervisor, Technology Compliance

📞 (720) 555-4178 ✉ rahul.norris@example.com

🌐 linkedin.com/example/rahulnorris 📍 Denver, CO 80206

### STRENGTHS

- ✔ **Audit readiness**  
Organized evidence and expert responses for demanding reviews, giving auditors clearer support and leaders better visibility.
- 👥 **Team guidance**  
Handled escalations with calm direction. Analysts gained practical coaching, clearer ownership, and confidence during priority work.
- ♥ **Vendor risk**  
Turned third party security questions into workable review steps, helping stakeholders make informed sourcing decisions.
- 📌 **Executive reporting**  
Monthly metrics connected findings, exceptions, and remediation status. Leadership received a concise view for action.
- ⚙️ **Process improvement**  
Updated workflows and SOPs with user feedback. Teams found recurring compliance work easier to follow and repeat.

### SKILLS

Audit management  
Vendor management  
Cloud governance Outsourcing risk  
Control assessment  
Audit evidence  
Remediation tracking  
SOP documentation  
Compliance metrics  
Resource planning  
Project oversight  
Stakeholder consultation

### SUMMARY

Technology compliance leader with seven years across **information security** controls, audit readiness, vendor risk, cloud governance, and compliance operations. More than two years of supervisory and lead experience include directing specialists, resolving escalations, balancing resources, and translating security expectations into practical controls. CISA and CRISC certified, with hands on work supporting audits, coordinating evidence, tracking remediation, maintaining SOPs, and producing leadership metrics. Advises technology teams, business partners, vendors, auditors, and clients on cloud services, outsourcing, **automated business systems**, and third party risk. Builds dependable operating practices through clear documentation, consultative communication, inclusive mentoring, and disciplined program oversight. Colorado based professional can support remote operations, periodic travel, **on call** needs, **screening procedures**, and strong service relationships across assigned work.

### EXPERIENCE

#### Compliance Operations Supervisor

FrontRange Digital Assurance 📅 July 2024 - Present 📍 Denver, Colorado

Supervises daily **technology compliance** operations for seven specialists across security controls, audit support, vendor oversight, cloud environments, business applications, escalations, reporting, documentation, and stakeholder service.

- Led seven person compliance team through cloud control reviews, audit requests, and vendor oversight.
- Resolved complex evidence escalations by assigning specialists according to expertise and project capacity.
- Advised managers on **cloud**, **outsourcing**, and vendor risks, converting concerns into practical control updates.
- Produced monthly and quarterly **metrics reports** covering findings, exceptions, audits, and vendor review progress.
- Updated **compliance** workflows and SOPs, validating revised procedures with users before operational adoption.
- Mentored senior analysts through case reviews and development planning, strengthening **inclusive leadership** practices.

#### Senior Technology Compliance Analyst

Aspen Ridge Financial Services 📅 January 2022 - June 2024 📍 Boulder, Colorado

Led matrixed **technology compliance** work across **automated business systems**, acquisition reviews, audit readiness, vendor assurance, remediation coordination, stakeholder consultation, SOP maintenance, and analyst coaching.

- Coordinated **audit readiness** by organizing evidence, expert responses, and **remediation** records across technology teams.
- Evaluated system **acquisition** controls, identifying access, vendor assurance, change, and documentation gaps before release.
- Led vendor review workgroups by setting milestones, clarifying ownership, and escalating unresolved risk issues.
- Advised **stakeholders** on third party security, cloud services, and outsourcing decisions using risk criteria.
- Maintained SOPs and review playbooks, giving analysts consistent workflows and auditors clearer operating evidence.
- Coached junior analysts on evidence quality, stakeholder communication, and control interpretation during priority reviews.

#### IT Risk and Compliance Analyst

Summit Harbor Health Systems 📅 July 2020 - December 2021 📍 Aurora, Colorado

Team leadership

Information security controls

Automated business systems

## LANGUAGES

English Native

Spanish Intermediate

## MY CAREER



● Compliance Operations  
Supervisor at FrontRange Digital  
Assurance (2.2 Years)

● Senior Technology  
Compliance Analyst at Aspen  
Ridge Financial Services (2.4  
Years)

● IT Risk and Compliance  
Analyst at Summit Harbor Health  
Systems (1.4 Years)

● Information Security Analyst  
at ClearPeak Software Services  
(8 Months)

Performed technology risk and compliance work for business applications and infrastructure services, supporting assessments, audits, vendor reviews, remediation tracking, reporting, procedures, and system owner relationships.

- Performed control assessments for applications and infrastructure, documenting observations, evidence needs, and follow up actions.
- Supported audits by gathering technical evidence, coordinating interviews, and tracking responses through closure.
- Reviewed technology vendors for security considerations, routing higher risk findings to **senior** risk staff.
- Built Excel status reports covering control testing, **audit** requests, **remediation** items, and vendor reviews.
- Updated **compliance** procedures as processes changed, preserving ownership and evidence expectations for recurring activities.
- Explained compliance requirements in operational terms, helping application teams and vendors agree on next actions.

### Information Security Analyst

ClearPeak Software Services 📅 October 2019 - June 2020 📍 Colorado Springs, Colorado

Supported hosted application security reviews through system research, control evidence preparation, vendor documentation tracking, audit package development, compliance documentation, cloud research, and inquiry escalation.

- Supported hosted application reviews by mapping safeguards and documenting **control evidence** for senior analysts.
- Tracked vendor security documentation and questions, keeping third party review records current for decisions.
- Prepared audit evidence packages from access records, change documentation, configurations, and approved procedures.
- Maintained shared **compliance** repositories using version control and naming practices for faster evidence retrieval.
- Researched **cloud** security and **outsourcing** developments, summarizing practical considerations for control maintenance discussions.
- Answered routine **compliance inquiries** and escalated complex interpretations while learning **automated business systems**.

## PROJECTS

### Cloud Vendor Assurance Program 📅 2024

Created a recurring review model for cloud and outsourcing providers. Connected security evidence, ownership, remediation, and leadership reporting in one practical workflow.

### Automated Systems Control Readiness 📅 2023

Coordinated control evidence for automated business systems before production release. Clarified gaps early and gave technology teams useful actions for closure.

## LEADERSHIP & AWARDS

- CISA certification earned in 2023 for information systems audit leadership.
- CRISC certification earned in 2025 for risk and control practice.
- Selected for supervisory responsibility after demonstrating dependable escalation and team leadership.

## EDUCATION

### Bachelor's Degree in Information Systems

University of Colorado Denver 🎓 GPA: 4.0 📅 2019 📍 Denver, Colorado

**Coursework:** Information security, systems analysis, database management, project management

## CERTIFICATIONS

- Certified Information Systems Auditor, CISA 📅 2023

- Certified in Risk and Information Systems Control, CRISC 📅 2025

## TECHNICAL SKILLS

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- **Audit and Assurance:** Audit management, audit evidence, control testing
- **Risk and Control Frameworks:** CISA, CRISC, risk assessment
- **Vendor Management:** Vendor reviews, third party assurance, outsourcing risk
- **Cloud Services:** Cloud governance, cloud security, hosted applications
- **Information Security:** Security controls, access controls, change controls
- **Compliance Operations:** Compliance inquiries, remediation tracking, control exceptions
- **Reporting Tools:** Microsoft Excel, metrics reporting, status dashboards
- **Documentation Systems:** SOP documentation, review playbooks, version control
- **Business Applications:** Automated systems, application controls, production readiness
- **Leadership Methods:** Team mentoring, matrix leadership, resource planning
- **Project Delivery:** Milestone planning, escalation management, project oversight
- **Stakeholder Platforms:** Internal consultation, client consultation, vendor coordination

## PROFESSIONAL AFFILIATIONS

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- ISACA Denver Chapter, Professional Development Volunteer, 2023 - Present.
- Internal Compliance Mentoring Program, Mentor, 2024 - Present.

## ADDITIONAL INFORMATION

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**Work Status** : Authorized to work in United States. No sponsorship required.

## REFERENCES

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AVAILABLE ON REQUEST